



Prep.by: QOM

Approved by:MD

CGSSOCA

Operating Manual

Non Compliance & Sanction Catalogue

Doc. No. OM-28

Rev No: 04

Rev. Date:26.06.26

CATALOGUE OF SANCTIONS

Categories of Non-Conformity	Corresponding Sanctions	Consequences
M1 – Minor non-conformity, not affecting organic status of the product/operation	Measure of warning	Non-conformity can be closed before or after issuing the certificate.
M2 – Measure of warning not applied in prescribed dead line or not applied in full.	Suspension	1. Minor becomes Major Non-Compliance 2. Deadline for additional inspection to be performed
M3 –Major non-conformity, affecting organic status of the products.	Downgrading the product.	Organic status of operation is kept alive.
M4 – Major non-conformity, affecting organic status of the operation.	1. Termination of contract 2. Withdrawal of certificate	Product concerned cannot be certified, plots, part or in full, must undergo a conversion period from the beginning.

Sr.No.	Non Compliance List	M1	M2	M3	M4
1	APPLICATION FORM				
2	There are no clear data on land (the basis of use, size of fields, etc.).	•			
	PREVIOUS NON COMPLIENCES		•		
3	Delay in corrections of previous non compliances		•		
4	Deficiencies that affect the certification were not corrected			•	•
	PLANTING MATERIAL				
	Using conventional untreated material without permission			•	
	Use of materials treated with prohibited substances.			•	
	The intentional use of GMO material			•	
	Non intentional use of GMO material			•	
	Cannot prove the origin of purchased material		•		
	PARALLEL PRODUCTION				
	No data is available on the parallel production			•	
	Production of the same or similar organic and conventional products			•	
	Deviation from the plan of conversion	•			
	Inadequate separation of organic and conventional products			•	
	FERTILIZERS AND PLANT PROTECTION PRODUCTS				
	The use of synthetic fertilizers				•



Prep.by: QOM

Approved by:MD

CGSSOCA

Operating Manual

Non Compliance &Sanction Catalogue

Doc. No. OM-28

Rev No: 04

Rev. Date: 26.06.26

	The use of other prohibited fertilizers without permission				
	Excessive use of allowed fertilizers			•	
	The use of banned pesticides (including storage and treatment of stored products). If the results of the chemical analysis proved the presence of residues of prohibited substances -				•
	Drift of prohibited pesticides from adjacent plots / land			•	
	Use of ethylene oxide in godown				•
	Processing & Handling				
	Ingredients in processing not identifiable as organic			•	
	Cleaning prior to organic processing ,when separation is in time, cannot be proven		•		
	Parallel Production: Producer with the same processing in organic and conventional without proper separation.			•	
	<i>No Organic Critical Control Plan developed or implemented</i>		•		
	Documentation: Minor defects which do not affect the issuance of certificates in record-keeping	•			
	Larger defects that affect the issuance of certificates in record-keeping (inability to secure traceability procurement, production and sales, there is no record of production - diaries, separation of conventional and organic farming in all phases is not documented, such documentation required by CGSSOCA is not given in time)			•	
	Labeling				
	Inadequate labeling of stored goods		•		
	Storage Issues (No clear separation, conditions of storage not good)			•	
				•	
	ICS Management				
	- Document & Document Control - Poor documentation (farm diary, bills, invoices, legal identity, contract agreement etc.)	•			
	Record Keeping- Poor record keeping system	•			
	Lack of proper knowledge of organic standards (Lack of Training)	•			
	Frequency of minor non-compliance		•		
	Internal non-compliances are not properly corrected (lack of knowledge of sanction and corrective action)		•		



Prep.by: QOM

Approved by:MD

CGSSOCA

Operating Manual

Non Compliance &Sanction Catalogue

Doc. No. OM-28

Rev No: 04

Rev. Date: 26.06.26

	• Prevalence of non-organic production of the same type in the region. • Compliance with Internal training • Frequency of minor non-compliances				
	Wild Collection				
	Area of collection is properly identified on appropriate maps		•		
	Contamination from nearby areas		•		
	Verification of operator records of all collectors			•	
	Collector trainings	•			
	Contract with collectors		•		
	Handling of produce during collection and buying		•		
	Trader				
	Details of Procurement		•		
	Scope & Transactions Certificates			•	
	Warehouse layout	•			
	Organic control Points		•		
	Comingling			•	
	Standard Operating procedures	•			
	Training to staff	•			